ASSISTED CARE LIVING FACILITY
CHANGE OF OWNERSHIP PROCEDURES

1. Submit a notarized application along with the appropriate fee and a letter of intent 60 days prior to the anticipated Change of Ownership (CHOW) to the address at the top of the application. The letter of intent should include the name of the facility, the name of the seller\lessee of the facility, acknowledgment by the seller\lessee authorizing the sale or lease of the facility's operations and the projected date of the CHOW. Submission of a CHOW application indicates the acquisition and sale\lease of the entire facility operations including the associated license.

2. A letter will be sent acknowledging the receipt of the application, fee and notice of intent. Once the change of ownership has occurred and you receive the closing documents, you will need to send a copy of the bill of sale or the documents, including lease of operations agreements, that indicate that you are now the owner or lessee of the facility to:

   Office of Health Care Facilities
   665 Mainstream Drive, Second Floor
   Nashville, Tennessee 37243

3. This office will notify the regional office in your area to request their recommendation for the intended CHOW. The regional office will review the facility file to determine if a survey has been conducted within the previous fifteen (15) months with no outstanding deficiencies, and secondly to determine survey performance history including both scheduled and complaint surveys. If a survey has been conducted in the last fifteen (15) months and the facility's survey history including complaint surveys is satisfactory, a form recommending approval of the CHOW will be submitted to the central office in Nashville. If a survey has not been conducted within the previous fifteen (15) months or any complaint(s) rising to the level of a detriment to the health, safety, and welfare of the residents of the facility has been reported then; an on-site survey of the facility will be conducted. The regional office **will not** recommend approval of the CHOW, until an on-site survey is conducted with substantial compliance and/or deficiencies from either this on-site survey or a previous survey are corrected. The applicant/buyer will be notified by the regional office, if an onsite survey is necessary.

4. Once the recommendation is received in the central office from the regional office, a letter will be forwarded to you initially approving the CHOW pending the completion and submission of the final bill of sale (closing document(s)). The effective date of the CHOW will be the date of the closing document(s) is signed and dated by the seller/ buyer or lessee; or the date the regional office recommends approval of the CHOW, if occurring after the closing date. The application will then be presented to the Board for Licensing Health Care Facilities at the next regularly scheduled board meeting for ratification. If the Board ratifies the approval of the CHOW the license number listed above will become your permanent license number and a letter will be forwarded to you within three working days notifying you of the Board's final decision. You should receive your wall license within seven (7) to ten (10) business days thereafter.

5. If the Board does not ratify the initial approval of the CHOW, that initial authorization shall cease to be effective. A letter will be mailed to you providing an explanation and specific instructions as to any actions you may take to have the decision reviewed.

6. Notice regarding Assisted Care Living Facilities (ACLFs) seeking Medicaid reimbursement: ACLFs in Tennessee **must** be licensed by the Tennessee Department of Health, Office of Health Care Facilities. In addition, ACLFs that want to serve Medicaid recipients **must** be compliant with the federal Home and Community Based Services (HCBS) Settings Rule as a requirement of eligibility to become a TennCare provider and receive Medicaid reimbursement. ACLFs not in compliance with the HCBS Settings Rule **will not** be able to be credentialed to participate as a TennCare provider and receive Medicaid reimbursement until such ACLFs come into compliance with the HCBS Settings Rule.

All applicable laws, rules, policies, and guidelines affecting your practice are available for viewing at https://www.tn.gov/content/tn/health/health-program-areas/hcf-professionals/applications.html. Please check this website periodically for updates.

ASSISTED CARE LIVING FACILITY
APPLICATION FOR CHANGE OF OWNERSHIP

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Name of the Facility/Agency _____

Location of the Facility

Street _____ City _____

County _____ State _____ Zip _____

Telephone Number (____) _____ Fax Number (____) _____

Twenty-four (24) Hour Emergency Telephone Number (____) _____

E-Mail Address _____

Total Bed Capacity _____

Does the facility have a secured unit? Yes ____ No ____ Number of Secured Beds _____

Administrator Information

Administrator _____

Certificate number or Nursing Home Administrator Number _____

Have you (Administrator) ever been convicted of a crime involving injury or harm to person(s), financial or business management (e.g., assault, battery, robbery, embezzlement or fraud)? Yes ____ No ____

If yes, what charge(s)? _____

Location of Conviction _____ Date _____
(City) (County) (State)

Mailing address if different from the Facility location address

Name _____

Street _____

City _____ State _____ Zip_____

Ownership of Building

Name _____ Telephone Number (____) _____

Street _____

City _____ State _____ Zip _____

FEE SCHEDULE (FEES ARE NON-REFUNDABLE)

Bed Capacity	Fee	Bed Capacity	Fee
Less than 25	$ 800	100 thru 124	$1,600
25 thru 49	$1,000	125 thru 149	$1,800
50 thru 74	$1,200	150 thru 174	$2,000
75 thru 99	$1,400	175 thru 199	$2,200

Facilities with 200 beds or more shall pay a flat rate of $2400 + $200 for each additional 25 beds or fraction thereof (i.e., 200-224 pays $2,400; 225-249 pays $2,600).

OWNERSHIP OF BUSINESS

1. a. Check the type of Legal Entity:

Individual _____ Partnership _____ Corporation _____ Limited Liability Company _____

Church Related _____ Government/County _____ Other _____

b. Check One: _____For Profit _____ Non-profit

c. Legal Entity checked in 1.a:

Name _____ Phone Number (_____) _____

Address _____

d. List name(s) and address(es) of individual owners, partners, directors of the corporation, or head of the governmental entity:

_____

Name Street City, State, Zip

_____

Name Street City, State, Zip

(If additional space is needed, please use a separate sheet.)

2. a. In accordance with Rule 1200-08-25, is this CHOW a lease of operation? Yes____ No____

b. If yes, please provide the lessor's information below:

Name_____Phone Number (___) _____

Address_____

3 a. Is your facility/organization accredited by a **federally approved** accrediting body including but not limited to JCAHO, CARF, etc?

Yes _____ No _____ Expiration Date _____

b. Is your facility/organization deemed by a **federally approved** accrediting body including but not limited to JCAHO, CARF, etc?

Yes _____ No _____ Expiration Date _____

4. If you have a parent company please provide the information:

Name _____ Telephone Number (_____) _____

Address _____

5. a. Are any owners of the disclosing entity also owners of other health care facilities in Tennessee and/or other states? Yes _____ No _____

   b. If yes, list names and addresses of all such facilities:

   _____

   _____

6. a. Do you have a contract with a management firm to operate this facility? Yes _____ No _____

   If yes, specify dates: From _____ To _____

   b. If yes, please specify name of firm: _____

   Phone Number (_____) _____

   _____

   Street City State Zip

7. a. Have any owners of the disclosing entity ever been denied a license, had a license suspended or revoke, had a suspension of admissions or paid any civil monitory penalties for a health care facility in Tennessee or in any other state? Yes _____ No _____

   b. If yes, where? _____ When? _____

   c. For what reason? _____

VERIFICATION BY NOTARY PUBLIC

Signee for application certifies that he or she is of responsible character and able to comply with the minimum standards and regulations established by Tennessee pertaining to the type of facility or agency for which application for licensure is made and with the rules promulgated under Tennessee Code Annotated (TCA) §68-11-201.

Signee also certifies that a policy has been implemented to inform all employees of their obligation under TCA §71-6-103 to report incidents of abuse or neglect.

Signee acknowledges that the State of Tennessee may share information regarding the activities and compliance of the licensee, if the submitted CHOW application is a lessor and/or lessee transaction as described in the above Ownership of Business section of this application.

_____ _____ _____

Applicant Signature Title Date

STATE OF TENNESSEE

County of _____

The above named applicant (print name) _____, being by me duly sworn on his/her oath, deposes and says that he/she has read the forgoing application and knows the contents thereof: that the statements concerning the above named facility or agency, therein contained, are correct and true to his/her own knowledge.

Subscribed to and sworn to on this _____ day of _____

Month Year

Notary Public: _____

My commission expires: _____